

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ulrich JORDIS et al.

Box PCT

Serial No. (unknown)  
(PCT/AT01/00082)

Application Branch

Filed herewith

DERIVATIVES AND ANALOGS OF GALANTHAMINE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet. It is believed that copies of R. ROQUES et al. and Jaume BASTIDA et al. were furnished to the USPTO pursuant to the USPTO/EPO/JPO Trilateral Agreement.

A concise explanation of the relevance of these items is that these references were cited by the European Patent Office in the corresponding international application Serial No. PCT/AT01/00082, filed 22 March 2001.

Respectfully submitted,

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By



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November 30, 2001

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
W5-127001A.30

SERIAL NO.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT  
Ulrich JORDIS et al.FILING DATE  
November 30, 2001

GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
	AJ	WO 97/40049	10/97	International (abstract only)			N/A
	AK	WO 96/12692	05/96	International (abstract only)			N/A
	AL	WO 00/32199	06/00	International (abstract only)			N/A

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

AO	R. ROQUES et al., "Structure of Norgalanthamine Hydrochloride", ACTA CRYSTALLOGR, Sect. B (1980), Vol. B36, No. 7, pp.1589-93 XP001009771
AP	Jaume BASTIDA et al., "Narcissus Alkaloids. Part 19. Alkaloids from Narcissus Leonensis", Phytochemistry, (1993), Vol. 34, No. 6, pp. 1656-8, XP001009775

**EXAMINER****DATE CONSIDERED**

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.